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102303

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. **12-020-CON**First Inventor or Application Identifier **IWANAMI et al.**Title **COMPOSITE DRIVE SYSTEM FOR COMPRESSOR**

Express Mail Label No. \_\_\_\_\_

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- |  |  |
|--|--|
| 1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original and a duplicate for fee processing)</i>  | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix)   |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages <b>44</b> ]  | 6. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i> <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ol>           |
| -Descriptive title of the Invention<br>-Cross Reference to Related Applications<br>-Background of the Invention<br>-Summary of the Invention<br>-Brief Description of the Drawings<br>-Detailed Description of the Preferred Embodiment<br>-Claims<br>-Abstract of the Disclosure  |  |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>17</b> ]  | 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))  |
| 4. Oath or Declaration [Total Sheets <b>4</b> ]  | 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement<br><i>(when there is an assignee)</i> <input checked="" type="checkbox"/> Power of Attorney  |
| a. <input type="checkbox"/> Newly executed (original or copy)<br><br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)),<br><i>(for continuation/divisional with Box 16 completed)</i><br><br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br><i>Signed statement attached deleting</i><br><br><i>inventor(s) named in the prior application,<br/>see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</i> | 9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i><br><br>10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations   |
|  | 11. <input checked="" type="checkbox"/> Preliminary Amendment<br><br>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(should be specifically itemized)</i><br>*Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application,<br><i>(PTO/SB/09-12)</i> <input type="checkbox"/> Status still proper and desired |
|  | 13. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed)</i><br><br>14. <input type="checkbox"/> Other: ....<br><br>15. <input type="checkbox"/> Other: ....   |

**NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)**

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation  Divisional  Continuation-in-part (CIP)
of prior application No. **10/074,242**Prior application information: Examiner **SOLAK, Timothy P.**Group/Art Unit: **3746**

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<b>23400</b>	or <input type="checkbox"/> Correspondence address below
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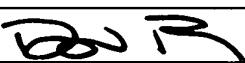
(Insert Customer No. or Attach bar code label here)

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<i>Address</i>			
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<i>City</i>	<i>State</i>	<i>Zip Code</i>	
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<i>Country</i>	<i>Telephone</i>	<i>Fax</i>	<b>(703) 707-9110</b>
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Name (Print/type)	<b>DAVID G. POSZ</b>		Registration No. (Attorney/Agent)	<b>37,701</b>
Signature			Date	<b>October 23, 2003</b>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Arlington, VA 22202. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

770

Complete if Known

Application Number	
Filing Date	October 23, 2003
First Named Inventor	IWANAMI et al.
Examiner Name	
Art Unit	
Attorney Docket No.	12-020-CON

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																							
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account Deposit Account Number 50-1147 Deposit Account Name POSZ & BETHARDS, PLC		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>420</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>950</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254</td> <td>1,480</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>2,010</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401</td> <td>330</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>330</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>290</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>1453</td> <td>1,330</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>1501</td> <td>1,330</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>1502</td> <td>480</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>1503</td> <td>640</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>1460</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>1807</td> <td>50</td> <td>Processing fee under 37 CFR 1.17(q)</td> <td></td> </tr> <tr> <td>1806</td> <td>180</td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>8021</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>1809</td> <td>770</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>1810</td> <td>770</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>1801</td> <td>770</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>1802</td> <td>900</td> <td>Request for expedited examination of a design application</td> <td></td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Description	Fee Paid	1051	130	Surcharge - late filing fee or oath		1052	50	Surcharge - late provisional filing fee or cover sheet		1053	130	Non-English specification		1812	2,520	For filing a request for ex parte reexamination		1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	Extension for reply within first month		1252	420	Extension for reply within second month		1253	950	Extension for reply within third month		1254	1,480	Extension for reply within fourth month		1255	2,010	Extension for reply within fifth month		1401	330	Notice of Appeal		1402	330	Filing a brief in support of an appeal		1403	290	Request for oral hearing		1451	1,510	Petition to institute a public use proceeding		1452	110	Petition to revive - unavoidable		1453	1,330	Petition to revive - unintentional		1501	1,330	Utility issue fee (or reissue)		1502	480	Design issue fee		1503	640	Plant issue fee		1460	130	Petitions to the Commissioner		1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	Submission of Information Disclosure Stmt		8021	40	Recording each patent assignment per property (times number of properties)		1809	770	Filing a submission after final rejection (37 CFR § 1.129(a))		1810	770	For each additional invention to be examined (37 CFR § 1.129(b))		1801	770	Request for Continued Examination (RCE)		1802	900	Request for expedited examination of a design application	
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<b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Independent Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>8</td> <td>1</td> <td>-20** = 0</td> <td>x 18 = 0</td> <td>= 0</td> </tr> <tr> <td></td> <td></td> <td>- 3** = 0</td> <td>x 86 = 0</td> <td>= 0</td> </tr> <tr> <td colspan="5">Multiple Dependent</td> </tr> </tbody> </table>		Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid	8	1	-20** = 0	x 18 = 0	= 0			- 3** = 0	x 86 = 0	= 0	Multiple Dependent					<b>SUBTOTAL (2) (\$)</b> 0																																																																																																			
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SUBMITTED BY		Complete if applicable		
Name* (Print/Type)	DAVID G. POSZ	Registration No. (Attorney/Agent)	37,701	Telephone (703) 707-9110
Signature				Date OCT. 23, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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